**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in this Submission

**6**

Application Number

**10/658,985**

Filing Date

**September 10, 2003**

First Named Inventor

**Ming Gao YAO et al.**

Art Unit

**3729**

Examiner Name

**Tai V. NGUYEN**

Attorney Docket Number

**12553/103****ENCLOSURES (check all that apply)**☒ Fee Transmittal Form☐ Fee Attached☐ Amendment / Reply☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☒ Information Disclosure  
Statement☐ Certified Copy of Priority  
Document(s)☐ Reply to Missing Parts/  
Incomplete Application☐ Reply to Missing Parts  
under 37 CFR 1.52 or 1.53☐ Drawing(s)☐ Licensing-related Papers☐ Petition☐ Petition to Convert to a  
Provisional Application☐ Power of Attorney, Revocation  
Change of Correspondence  
Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s) \_\_\_\_\_☐ Landscape Table on CD☐ After Allowance Communication to TC☐ Appeal Communication to Board  
of Appeals and Interferences☐ Appeal Communication to TC  
(Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Other Enclosure(s)  
(please identify below):**1. PTO-1449 (5 references  
not included in page count)****2. Postcard**

Remarks

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm

**Kenyon & Kenyon LLP**

Signature

Printed Name

**Sumit Bhattacharya**

Date

**August 16, 2006**

Reg. No.

**51,469****CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. **M/S: AMENDMENT**

Signature

Typed or printed name

**Barbara Vance**

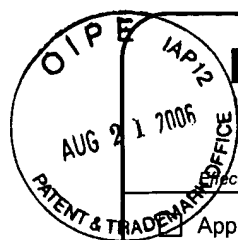
Date

**August 16, 2006**

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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# FEE TRANSMITTAL for FY 2005

Effective 10/01/2004. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) **180.00**

## Complete if Known

Application Number **10/658,985**  
 Filing Date **September 10, 2003**  
 First Named Inventor **Ming Gao YAO et al.**  
 Examiner Name **Tai V. NGUYEN**  
 Art Unit **3729**  
 Attorney Docket No. **12553/103**

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None  
 Order
☒ Deposit Account:Deposit  
Account  
Number **11-0600**Deposit  
Account  
Name **Kenyon & Kenyon LLP**

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s) or any underpayment of fee(s)  
☒ Charge fee(s) indicated below, except for the filing fee  
 to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	790	2001	395	Utility filing fee	
1002	350	2002	175	Design filing fee	
1003	550	2003	275	Plant filing fee	
1004	790	2004	395	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					<b>(\$ 0)</b>

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Extra Claims Fee from below Fee Paid  
 Total Claims  -20 \*\* =  X **50.00** =   
 Independent Claims  -3 \*\* =  X **200.00** =   
 Multiple Dependent  X  =

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	50	2202	25	Claims in excess of 20	
1201	200	2201	100	Independent claims in excess of 3	
1203	360	2203	180	Multiple dependent claim, if not paid	
1204	200	2204	100	** Reissue independent claims over original patent	
1205	50	2205	25	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) **(\$ 0)**

\*\*or number previously paid, if greater. For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	120	2251	60	Extension for reply within first month	
1252	450	2252	225	Extension for reply within second month	
1253	1,020	2253	510	Extension for reply within third month	
1254	1,590	2254	795	Extension for reply within fourth month	
1255	2,160	2255	1,080	Extension for reply within fifth month	
1401	500	2401	250	Notice of Appeal	
1402	500	2402	250	Filing a brief in support of an appeal	
1403	1,000	2403	500	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	500	2452	250	Petition to revive - unavoidable	
1453	1,500	2453	750	Petition to revive - unintentional	
1501	1,400	2501	685	Utility issue fee (or reissue)	
1502	490	2502	245	Design issue fee	
1503	660	2503	330	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	<b>180.00</b>
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	790	2809	395	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	790	2810	395	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	790	2801	395	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) **(\$ 180.00)**

## SUBMITTED BY

Name (Print/Type)

**Sumit Bhattacharya**

Registration No. (Attorney/Agent)

**51,469**

Telephone

**(408) 975-7500**

Signature

Date

**August 16, 2006**

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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Patent

Attorney Docket No.: 12553/103



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANTS : Ming Gao YAO et al.  
SERIAL NO. : 10/658,985  
FILED : September 10, 2003  
FOR : METHOD AND MECHANISM OF PZT MICRO-ACTUATOR  
ATTACHMENT FOR THE HARD DISK DRIVER ARM  
GROUP ART UNIT : 3729  
EXAMINER : Tai V. NGUYEN

M/S: AMENDMENTS  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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Dated: August 16, 2006

*Barbara Vance*  
Signature

Barbara Vance

**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

Submitted herewith is an Information Disclosure Statement under 37 CFR §1.97(b)(3) with five (5) reference(s) listed on the attached PTO-1449 (modified) form. A copy of the two (2) cited US references is not enclosed since the present application was filed after June 30, 2003. (See OG Dated: August 5, 2003). A copy of the three (3) cited Foreign references is attached.

08/22/2006 CNGUYEN 00000015 110600 10658985  
01 FC:1806 180.00 DA

It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

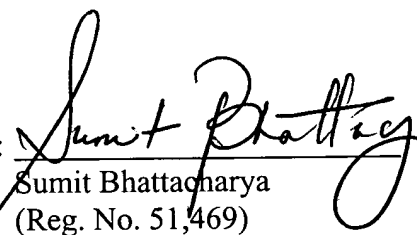
This Information Disclosure Statement is being filed after three months of the filing date of the above-identified application or after the mailing date of the first Office Action on the merits, which event occurred last but before the mailing date of either a final action under 1.113 or a Notice of Allowance under 1.311, whichever occurs first.

If any fee is required, the Office is hereby authorized to charge any fees, or credit any overpayments under 37 CFR §1.17(p) to Kenyon & Kenyon, Deposit Account No. **11-0600**.

Respectfully submitted,

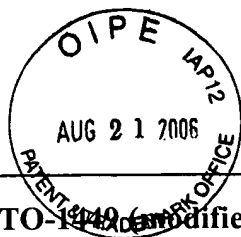
KENYON & KENYON LLP

Dated: August 16, 2006

By:   
Sumit Bhattacharya  
(Reg. No. 51,469)

KENYON & KENYON LLP  
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San Jose, CA 95110

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Facsimile: (408) 975-7501



<b>Form PTO-1374a (modified)</b>  <b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT(S)' INFORMATION DISCLOSURE STATEMENT</b>	<b>Attorney Docket No.</b> 12553/103	<b>Serial No.</b> 10/658,985
	<b>Applicant</b> Ming Gao YAO et al.	
	<b>Filing Date</b> September 10, 2003	<b>Group Art Unit</b> 3729

**U. S. PATENT DOCUMENTS**

EXAMINER INITIAL	PATENT NUMBER	PATENT DATE	NAME	CLASS	SUBCLASS	FILING DATE
	**2002/0141117	October 3, 2002	Kasajima et al.	360	294.4	March 29, 2002
	**2002/0154450	October 24, 2002	Kasajima et al.	360	294.4	April 19, 2002

\*\*A copy is not enclosed since the present application was filed after June 30, 2003. (See OG Dated: August 5, 2003)

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	JP 2002-074870	March 15, 2002	Japan	G11B	21/10	X	
	JP 2002-074871	March 15, 2002	Japan	G11B	21/10	X	
	JP 2002-289936	October 4, 2002	Japan	H01L	41/09	X	

**OTHER DOCUMENTS**

EXAMINER INITIAL	AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.

<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
<p>EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>	